

Substitute Form PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
09857-022001Application No.
09/347,497**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR 1.98(b))

Applicant
Ana Gabriela Anaya et al.Filing Date
July 2, 1999Group Art Unit
3624**U.S. Patent Documents**

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AI							
	AJ							
	AK							
	AL							
	AM							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AN	NASD Stockwatch, System Design Document, February 8, 1989 (pp 1-62)
	AO	Description of Programs Under StockWatch System (pp 1-11)
	AP	Introduction to the Stockwatch Automated Tracking System ("SWAT"), June 1992 (pp 1-9)
	AQ	On-Line Stockwatch, June 1992 (pp 15-28)
	AR	Off-Line Stockwatch Break Report, June 1992, (pp 36-54)

/Richard Weisberger/

Examiner Signature /Richard Weisberger/	Date Considered 06/13/2008
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /R.W./